1	Characterizing the Diverse Hydrogeology Underlying Rivers and Estuaries Using
2	New Floating Transient Electromagnetic Methodology
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### Abstract:

24 The hydrogeology below large surface water features such as rivers and estuaries is 25 universally under-informed at the long reach to basin scales (10s of km+). This challenge inhibits 26 the accurate modeling of fresh/saline groundwater interfaces and groundwater/surface water 27 exchange patterns at management-relevant spatial extents. Here we introduce a towed, floating 28 transient electromagnetic (TEM) system (i.e. FloaTEM) for rapid (up to 15 km/hr) high 29 resolution electrical mapping of the subsurface below larger water bodies to depths often a factor 30 of 10 greater than other towed instruments. The novel FloaTEM system is demonstrated at a range of diverse 4<sup>th</sup> through 6<sup>th</sup>-order riverine settings across the United States including 1) the 31 32 Farmington River, near Hartford, Connecticut; 2) the Upper Delaware River near Barryville, 33 New York; 3) the Tallahatchie River near Shellmound, Mississippi; and, 4) the Eel River 34 estuary, on Cape Cod, near Falmouth, Massachusetts. Airborne frequency-domain 35 electromagnetic and land-based towed TEM data are also compared at the Tallahatchie River 36 site, and streambed geologic scenarios are explored with forward modeling. A range of geologic 37 structures and pore water salinity interfaces were identified. Process-based interpretation of the 38 case study data indicated FloaTEM can resolve varied sediment-water interface materials, such 39 as the accumulation of fines at the bottom of a reservoir and permeable sand/gravel riverbed 40 sediments that focus groundwater discharge. Bedrock layers were mapped at several sites, and 41 aquifer confining units were defined at comparable resolution to airborne methods. Terrestrial 42 fresh groundwater discharge with flowpaths extending hundreds of meters from shore were also 43 imaged below the Eel River estuary, improving on previous hydrogeological characterizations of 44 that nutrient-rich coastal exchange zone. In summary, the novel FloaTEM system fills a critical 45 gap in our ability to characterize the hydrogeology below surface water features and will support

- 46 more accurate prediction of groundwater/surface water exchange dynamics and fresh-saline
- 47 groundwater interfaces.



- 48
- 49 Graphical Abstract

52

### 1. Introduction

53 River corridors drain the landscape through a spectrum of hydrologic exchange processes 54 and flowpaths that physically connect groundwater (GW) and surface water (SW) (Harvey & 55 Gooseff, 2015). The spatial distribution of recharge and discharge within the riverine corridor is 56 controlled in part by riverbed and bank geology (Winter et al., 1998); thus the physical structure 57 and permeability of the riverbed is a critical component of GW/SW exchange processes 58 (Bianchin et al., 2011). Because exchange processes are often critical to water quality (Boano et 59 al., 2014), water supply (Wolock, 2003), and aquatic ecology (Poole, 2010), they are 60 increasingly invoked in discussions of watershed management and restoration (e.g. Harvey et al., 61 2019; Hester and Gooseff, 2010). Although basin-scale numerical modeling capabilities for 62 river-aquifer exchange have expanded (e.g. Bao et al., 2018; Dai et al., 2019), our ability to 63 physically characterize the hydrogeology of river corridors at representative scales while 64 maintaining spatial resolution has not kept pace. Therefore, most watershed- to large basin-scale 65 models of GW/SW connectivity are uninformed in the context of subsurface structure, 66 particularly under larger rivers and estuaries.

Most existing methodologies to characterize the spatial and temporal hydrodynamics of GW/SW exchange were developed in headwater systems and intended for application at a series of "points" in space (Kalbus et al., 2006). In larger rivers, physical streambed point measurements are difficult to collect and may be impossible to scale up to system-representative information (Briggs et al., 2019). Difficulties in applying physical GW/SW methodology in large river systems forces a general reliance on net downstream change-based methodologies, for which, differences in river discharge and/or mixed water-column chemistry are evaluated along 74 the river corridor and attributed to exchange processes. However, when using these methods, the 75 underlying gross exchange processes remain ambiguous and impair prediction. Research has 76 shown that gaining river corridors are likely to be influenced by 'legacy' groundwater 77 contamination reflecting past land-use practices (Briggs et al., 2020; Sanford and Pope, 2013; Tesoriero et al., 2007), so the ability to identify and model specific GW/SW exchange dynamics 78 79 is fundamental to improving contemporary water-quality management strategies. Additionally, in 80 a time of baseline change, viable future predictions of river corridor dynamics are only possible 81 if the fundamental dominant physical controls are well-characterized.

82 For these reasons, improved characterization methods are needed in order to design, 83 calibrate, and test basin- to regional-scale predictive models. Of interest in larger order river 84 systems are field methods able to inform groundwater-flow model structure (Dai et al., 2019), 85 such as MODFLOW-model (Harbaugh et al., 2000) estimates of the riverbed conductance 86 parameter, a lumped term that includes streambed thickness and permeability, to which 87 simulated dynamics of GW/SW exchange are highly sensitive. Airborne and near-surface 88 hydrogeophysical methods complement more conventional river corridor field methodologies in 89 evaluating the physical properties of the river corridor (Briggs et al., 2019; McLachlan et al., 90 2017; Minsley et al., 2012). For example, thermal infrared imaging can efficiently indicate zones 91 of spatially preferential GW discharge across relatively large-scales based on water-surface 92 temperature anomalies in summer and winter (Hare et al., 2015). Numerous studies have shown 93 that electrical and electromagnetic methods are particularly useful to characterize geologic 94 heterogeneity that controls GW/SW exchange zonation under lakes, streams, and rivers (Briggs 95 et al., 2019; Day-Lewis et al., 2006; Parsekian et al., 2014; Toran et al., 2010) though surveys are 96 often limited practically in spatial coverage and/or depth of bed penetration.

97 Electrical resistivity tomography is a common and robust geophysical approach used 98 extensively for decades for mapping geologic structure, relative permeability and zonation in 99 porewater electrical conductivity. The resistivity tomography method is most often used for land-100 based surveys but is also used in aquatic environments in a towed, continuous resistivity 101 profiling (CRP) mode (e.g. Mast & Terry, 2019). For example, CRP has been used to map 102 freshwater saturation in saltwater bay sediments (Manheim et al., 2004), and for estimating 103 sediment thickness and locating faults (Kwon et al., 2005). CRP methods utilize a long floating 104 electrode array (typically 30 to 100-m line) towed by a boat. Although the CRP method can 105 provide high-resolution resistivity models useful for delineating the hydrogeology under rivers 106 and streams over substantial distances, practical limitations related to the length of the electrode 107 array and a modest depth of investigation (DOI) limit application to larger rivers and coastal 108 settings. For example, Sheets & Dumouchelle, (2009) were able to efficiently infer the spatial 109 structure of permeable bed sediments along 20 km of a large river using continuous seismic, 110 CRP and electromagnetic methods (EM), but the sub-bottom riverbed DOI was limited to 111 approximately 5 m.

112 In general, EM techniques have been highly successful in mapping GW/SW interfaces 113 when there are strong variations in either SW or GW electrical conductivity, providing natural 114 tracers of subsurface flow. Although several instruments are limited to the close near-surface 115 (e.g. <10 m DOI), recharge of shallow groundwater from shallow lakes (e.g. Ong et al., 2010) 116 and fresh GW discharge to rivers embedded in natural brines (e.g. Briggs et al., 2019) have been 117 mapped over multiple-km scales with handheld frequency domain EM tools. Extraction of 118 resources such as lithium from large scale natural brine systems is economically important 119 (Munk et al., 2016), but pumping activities are likely to impact sensitive surface aquatic

ecosystems (Marazuela et al., 2019). However, the complex hydrogeology and variable density
flow of such systems requires next generation geophysical imaging techniques to validate
predictive models (e.g. Marazuela et al., 2018) to better assess the impacts or resource extraction.
Transient EM (TEM) system soundings have been used to map coastal salt-water intrusion over
large areas to depths > 100 m (Kalisperi et al., 2018), but data coverage is limited by the nonmobile data collection techniques.

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127 To more effectively characterize larger order rivers and to work in more complex 128 environments, there is a need for new geophysical methods with a significantly improved DOI 129 and a substantially smaller towed instrument array length that also maintain high spatial 130 resolution (e.g. meter's scale in the vertical). There is growing interest in the development and 131 application of towed EM geophysical instruments, because towed methods can provide 132 continuous subsurface information with high lateral resolution and a relatively large DOI. 133 Mollidor et al., (2013) developed an in-loop transient EM TEM system and used it over a 134 volcanic lake in Germany, in order to map underlying sediment thickness. Because the system 135 used a large transmitter loop (18m x18m), the authors encountered non-1D effects on the TEM 136 data that could only be interpreted using a 3D EM modelling approach. Hatch et al., (2010) 137 conducted a study comparing an airborne EM (AEM) survey to data collected with the towed in-138 loop TEM and direct current resistivity systems in the context of mapping spatially 139 heterogeneous GW/SW connectivity in a saline aquifer system. They concluded that water-borne 140 surveys have better lateral and vertical resolution compared to AEM but with limited DOI (~20 141 m). Recently, Auken et al., (2019) presented a new ground-based towed transient 142 electromagnetic system (tTEM) for rapid, efficient mapping of the subsurface, with high lateral

143 and vertical resolution and DOI to 70 m. In this paper, we present a novel adaptation of the new 144 tTEM system to open water to strengthen uninformed river corridor studies of GW/SW exchange 145 dynamics. The boat-towed application of the tTEM system (called FloaTEM, i.e., floating tTEM) 146 was applied in diverse hydrogeological settings of the United States including the Farmington 147 River in Connecticut (4<sup>th</sup>-order stream), the Delaware River in New York (5<sup>th</sup>-order stream), the Tallahatchie River in Mississippi (6<sup>th</sup>-order stream), and the Eel River estuary on Cape Cod, 148 149 Massachusetts. For the Tallahatchie River case study, we include comparison to a land-based 150 tTEM survey to demonstrate how riverbed geology is tied to the adjacent floodplain landscape. 151 Data are compared to existing geological logs where available to aid in the hydrogeological 152 interpretations. As mentioned above, geologic data are rarely available directly below larger 153 surface water bodies, so we use forward modeling techniques to predict FloaTEM system 154 responses to complicated riverbed electrical conductivity structures. Waterborne geophysical 155 imaging of streambed sediments is always impacted by the surface water layer, so forward 156 modeling was also used to test the system under varied water column thickness to assess how 157 deployment over deeper water might influence the ability to resolve discrete streambed features. 158 For the Tallahatchie River case study we compare our FloaTEM results directly to existing AEM 159 data, and for the Eel River case study we compare to existing CRP data, to investigate the 160 relative strengths of FloaTEM compared to existing geophysical methodology. Our combined 161 synthetic and field datasets demonstrate how the FloaTEM method fills a critical gap in our 162 ability to resolve the physical structure of large riverbeds and map saline groundwater interfaces, 163 likely facilitating improved estuary- and basin-scale predictive model development and 164 calibration. Although the FloaTEM concept is demonstrated here with Aarhus technology, the 165 concept is transferable to other transient electromagnetic systems with similar specifications.

### 166 **2. Methodology**

167 Here we describe the towed FloaTEM system, field data analysis and inversion, and

168 forward modeling of expected sensitivity in resolving varied large river hydrogeologic scenarios.

169 2.1 FloaTEM System

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Figure 1 The FloaTEM system in side (a) (photo credit to Shane Stocks, USGS) and (b) plan
views. Rx-Coil indicates the receiver coil and Tx-coil indicates the transmitter coil fixed to a
rubber boat and pontoons, respectively. The approximate towed footprint behind a motorized
watercraft is shown in the bottom cartoon image.

The FloaTEM system uses an offset-configuration loop consisting of a single-turn, 4 m ×2 m transmitter (Tx) loop and a 0.56 m by 0.56 m multi-turn receiver (Rx) coil with an effective area of 5 m<sup>2</sup>. The offset distance between the center points of the Tx and Rx loops is 9 m, with the Tx and Rx coils affixed to pontoons and a small rubber raft, respectively. Figure 1 shows side and plan views of the system configuration. The system is towed by a boat containing the TEM transmitter, control unit, and receiver electronics at a speed of approximately 10-15 km/h. A 182 water depth sensor is included in the instrument data acquisition system and those data are used 183 to constrain the thickness of the water column during inversion, enhancing the resolution of 184 shallow bed sediments that often impart strong control on GW/SW exchange patterns. For the 185 case studies presented here a depth transducer transmitting at 200-kHz and sampling at 5 Hz 186 (CEE Echo, CEE HydroSystems) was placed at the boat stern and used to track water depth 187 (bathymetry). Additionally, a water-quality probe (YSI ProDSS Multiparameter Water Quality 188 Meter) is used to acquire georeferenced surface water electrical conductivity (EC) values every 189 30 seconds along the profile. The EC data are used for general survey reference, but not 190 explicitly included in the data inversion process. For data positioning, two GPS receivers are 191 mounted on the system, one at the TX-coil and another directly above the echo sounder. All data 192 are collected and timestamped by the FloaTEM computer that also collects the TEM data and 193 runs the navigation system.

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### 2.2 Data acquisition

The data acquisition system uses dual-moment measurements, transmitting a lowmoment and a high-moment current pulse to obtain both shallow and deep subsurface
information. For FloaTEM, the low-moment pulse transmits 2.8 Ampères (Amps) into the Tx
coil with a turn-off time of 2.6 (microsecond) µs, with the first usable time-gate centered around
4 µs (time from the beginning of the turn off ramp); whereas the high moment pulse transmits 30
Amps into the Tx coil. The repetition frequencies for the low-and high-moment pulses are 2160
and 660 Hz, respectively.

The land-based tTEM unit uses the same transmitter and receiver as the FloaTEM, however the transmitter and receiver are mounted on sleds at a height of about 0.5 m above the land surface and towed by an all-terrain vehicle at speeds of 10-15 km/hr. Airborne EM data were acquired with the Resolve (CGG Airborne) frequency-domain instrument over the same
reach of the Tallahatchie River as the FloaTEM as part of a larger mapping campaign in the
region. The AEM data comprise five horizontal coplanar Tx-Rx coil pairs separated by about 7.9
m at frequencies between 383 and 133,528 Hz, and one vertical coaxial coil pair separated by
about 9 m that operates at 3,315 Hz. Nominal system height above ground, or river, surface is 30
m and is recorded along flight paths. System parameters for this survey are the same as reported
by Thompson Jobe et al. (2020).

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## 2.3 Processing and Inversion

213 Processing of FloaTEM data follows the approach presented by Auken et al., (2009) for 214 airborne transient EM data, performed here using Aarhus Workbench software 215 (www.aarhusgeosoftware.dk). The processing steps of FloaTEM data consist of 1) pre-216 processing to identify and remove data clearly impacted by capacitive coupling to civil 217 infrastructure (e.g. power lines, fences, buried pipes, etc.), 2) averaging raw TEM sounding data 218 over approximately 3 second time windows to suppress random noise, resulting in a depth-219 dependent running mean used to populate each TEM sounding spaced approximately 10 m apart 220 along data-collection track lines (assuming an average boat speed of 10 km/h), 3) preliminary 221 inversion using a 1D laterally-constrained inversion (LCI) scheme to assess the quality of pre-222 processing, and examine areas along the profile with high data misfit to determine if additional 223 data are impacted by coupling and, if necessary, removed, and 4) final LCI inversion. Although 224 the LCI inversion approach breaks the data collection longitudinal profiles into a series of 1D 225 models for efficiency, lateral information from adjacent data are used to constrain each 1D 226 inversion (Auken et al., 2015), which improves the stability of the inversion. A 1D modeling 227 assumption may not be appropriate in cases where there is significant lateral variation in EM

properties, given that the true sensitivity pattern of TEM instrumentation is a 3D volume.
However, we note that the FloaTEM system has a relatively small sensitivity footprint in shallow
sub-surface compared to airborne and other ground-based system (Madsen et al., 2017). In
addition, we note that truly 2D or 3D inversion problems at the scale of interest here (e.g.,
~meter resolution over tens of km distances) would likely be computationally impractical with
modern consumer-level computer resources.

234 The forward response of the TEM data incorporates the modelling of the key parameters 235 of the tTEM system such as transmitter waveform, transmitter/receiver timing, receiver-coil's 236 finite bandwidth, receiver low-pass filter, receiver front-gate, gate widths, and system 237 configuration. All FloaTEM data were inverted using a smooth layer model consisting of 25 238 layers with depth to layer boundaries ranging from 0.5 m to 120 m. Logarithmic incremental 239 layer thicknesses are set in defining the 1D model discretization. Inversions discussed here were 240 carried out using the water-depth data as a constraint on the top layer (surface water) thickness 241 using the Workbench software when that data were available from the onboard echo sounder. 242 This measured surface water depth constraint serves to enhance the resolution of the top 5 m of 243 streambed resistivity, which a critical physical control on GW/SW exchange. The expected DOI for the inverted profiles was calculated in Workbench for each model, following methods 244 245 described by Christiansen & Auken, (2012).

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### 2.4 Forward modeling

Discrete zones or layers of relatively high-permeability riverbed sediments have been shown to control large-scale GW/SW exchange (Slater et al., 2010), assuming the permeable zones are not 'capped' by lower permeability fines that tend to accumulate in larger rivers and estuaries (Bianchin et al., 2011). The ability of the FloaTEM system to detect hydrogeological 251 variations below a large river was evaluated through forward modeling exercises carried out with 252 the AarhusInv forward/inverse modeling software (Auken et al., 2015). We first evaluated the 253 ability of the FloaTEM system to detect semi-confining less permeable deposits in the riverbed, 254 which may play an important role in regulating GW/SW exchange. In this exercise, we defined 255 electrical resistivity for the water column (50 ohm-m), permeable sand and gravel deposits (500 256 ohm-m), and less permeable clay (20 ohm-m) based on ranges reported in Palacky (1988). For 257 the forward modeling scenarios, the water-column thickness was varied between 3 and 30 m, and 258 the modeled thickness of the layer of clay at the riverbed interface was increased from 1 to 10 m 259 to test a range of potential field conditions.

260 Time domain electromagnetic data (voltages from 35 high-moment time gates and 45 261 low-moment gates were simulated in AarhusInv over a 1D layered model. Simulations were 262 performed using the configuration settings of the FloaTEM system. A noise floor value of 10<sup>-9</sup> V 263 amp-m<sup>-2</sup> plus random Gaussian noise of 5% was applied to the simulated data. LCI 25-layer 264 inversions (the same as used for field data in this manuscript) were carried out on the noise-265 contaminated data in AarhusInv. Layers within the water column were fixed in the inversion, 266 given our real expectation that water-column thickness and electrical conductivity will be 267 reasonably well quantified during field data collection.

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# **3.0 Results and Discussion**

FloaTEM electromagnetic imaging surveys were conducted between October and December, 2018 in a range of riverine settings across the United States including 1) the Farmington River, near Hartford, Connecticut; 2) the Upper Delaware River near Barryville, New York; 3) the Tallahatchie River near Shellmound, Mississippi (includes land-based towed-TEM); and, 4) the Eel River estuary, on Cape Cod, near Falmouth, Massachusetts. A range of geologic structures and pore water salinity interfaces were identified yielding new insight into
hydrogeologic processes that impact GW/SW exchange beneath large waterbodies. Additionally,
precisely known large river hydrogeologic scenarios were explored using forward modeling.

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# 3.1 Forward modeling results

Inverse results from the forward modeling are shown in Figure 2. River depths of 3, 10, 278 279 and 30 m were modeled with clay ranging from 1 to 10 m in thickness. For our hypothetical river 280 system and modeled electrical resistivities, the AarhusInv results suggest clay thicknesses greater 281 than 2, 2, and 3 m for river depths of 3, 10, and 30 m, respectively, can be resolved by the 282 FloaTEM system. Therefore, although the presence of any clay in these forward models impacts 283 the resulting inversion images, there is likely little ability to confidently map a clay/fines 284 streambed interface cap <2 m in thickness with the FloaTEM system. For deep water (up to 30 285 m) this minimal quantifiable thickness of clay increases > 3 m under the conditions tested.



Figure 2. AarhusInv modeling to assess the ability of the FloaTEM system to resolve layers of
less permeable material (a-c) true models of electrical resistivity for a water column of 3, 10,
and 30 meters and a layer of clay ranging from 1-10 m in thickness; (d-f) corresponding
inversion results indicating potential FloaTEM system response to less permeable clay.
Thickness of minimum resolvable layer is indicated by black arrows.

Additional modeling was conducted to assess the performance of the FloaTEM system in more complex hydrogeologic environments as shown in Figure 3. For this exercise, we assume a 10-meter water column thickness and similar resistivity values for the hydrogeological units as we did for the clay-cap models, with the addition of an intermediate resistivity (200 ohm-m) glacial till deposit. Discrete, low resistivity lenses (resistivity = 20 ohm-m; simulating silt/clay)
approximately 100-m long by 10-m thick were embedded in the domain. We utilized the same
forward-inverse modeling procedure. The forward-modeling results show the structure
associated with the major sands and gravels and glacial till units and delineate the six clay lenses
(Figure 3b); deeper low-resistivity clay lenses are less well-resolved compared to those at the
riverbed interface. The deep clay lens embedded within the glacial till are the most poorly
resolved owing to the reduced electrical contrast between the lenses and the matrix.

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Figure 3. Forward/inverse modeling to assess the performance of the FloaTEM system to resolve
hydrogeological features that possess electrical contrasts; (a) true model for which FloaTEM
data were simulated; (b) recovered model from inversion; feature boundaries are overlain as
dotted black lines.

The results of the forward modeling exercises indicate great potential but also identify practical limitations of the FloaTEM method in resolving the complex hydrogeology beneath larger water bodies. The system should be able to delineate relatively high conductivity zones, representative of either fine-grained sediments or clays, provided these zones are of sufficient size, depth, and electrical contrast with respect to the surrounding material. Further, our modeling indicates that we will be able to identify features at the reach to groundwater model scale for a reasonable range of subsurface geoelectrical conditions and river depths. We note the high lateral resolution of the clay lenses is explained by the relatively small footprint of the towed system.

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## 3.1 Farmington River (4<sup>th</sup>-order stream)

320 The Farmington River watershed (1571 km<sup>2</sup>) spans northwestern Connecticut and 321 southwestern Massachusetts. The Farmington River discharges to the Connecticut River, which 322 is a major source of nutrients and contaminants to Long Island Sound, contributing to summer 323 coastal eutrophication. The Farmington River watershed has experienced substantial changes in 324 land cover over the last several decades owing to the transition from agricultural to suburban 325 development. It is thought this shifting legacy of land use may contribute to nutrient loading of 326 the river via the GW flowpaths to numerous major GW discharge zones along the river corridor, 327 and that these major discharge zones are controlled in part by river corridor geology (Barclay, 328 2019). Principal bedrock aquifers in the Farmington River watershed are the New England 329 crystalline-rock aquifer and the Mesozoic sandstone and basalt aquifer of the Newark 330 Supergroup (Olcott, 1995). Bedrock is overlain by glacial till across most of the watershed, with 331 areas of valley-fill stratified-drift aquifers (Soller et al., 2012), resulting in a wide range in 332 surficial sediment hydraulic conductivity and related GW connectivity with the river. The river 333 length mapped for this case study connects an arkose (lower Portland Formation) bedrock-lined 334 river section with variable thin alluvial cover to the north with the 234-acre Rainbow Reservoir 335 (built in 1925) section with thick fine sediment accumulation to the south. In the study area, the

river runs slightly oblique to bedrock strike over dipping beds of sandstone interbedded withfiner-grained siltstone.

338 On November 15, 2018 about 5.6 km of Farmington River length were mapped with 339 FloaTEM (White et al., 2020) (Figure 4a). Along this study reach, data were collected in 340 subparallel lines along opposite banks of the Farmington River from an upstream river island 341 down to the impounded Rainbow Reservoir. Water depth data were collected over most of the 342 data track lines, though the echosounder malfunctioned over some short sections (Figure 4b,c). 343 The data collection lines are plotted in map view by inversion model residuals (Figure 4a), which 344 were generally higher in zones of higher streambed resistivity and were not noticeably impacted 345 by the direction of boat travel against the current (Figure 4a). The residuals presented here are 346 normalized chi-squared errors from the inversion, with values of 1 or less indicating that the 347 inversion was able to fit a model to data within the expected distribution of data errors. Toward 348 the upstream (western) end of the study reach, FloaTEM Line 130 follows the main channel 349 whereas Line 120 follows a large side channel to the south of a river island (Figure 4b,c). The 350 landward banks on both sides of the island are lined with clusters of preferential GW discharges 351 as identified with thermal infrared observations by Barclay, (2019). Bank sands and gravels in these seepage zones were observed to overly shallow bedrock, and the main river channel is 352 353 scoured to bedrock for a few hundred meters downstream of the island. The FloaTEM inversion 354 from Line 120 along the side channel section where GW discharges were most concentrated 355 shows a strongly resistive zone just below a resistive riverbed, interpreted as gravels over 356 sandstone bedrock, consistent with visual observations (Figure 4c). This combination of high 357 permeability glacial deposits over shallow bedrock is a known driver of GW discharge (Winter et 358 al., 1998). The main channel upstream section shown in Line 130 also shows a near-surface

resistive zone, though there is indication of greater accumulation of lower-resistivity alluvialsediment in that area (Figure 4b).

361 Other large-scale features visible along both data collection lines are apparently dipping 362 resistive bedrock layers consistent with the geologic mapping described above. These resistive 363 units occur in discrete sections along the profile with apparent dip angles to the east (apparent as 364 the river does not flow normal to strike in this area) and may reflect layers of sandstone 365 interbedded with less-resistive silt-rich bedrock. The apparent dip angle is more evident when the 366 FloaTEM data inversion is viewed without vertical exaggeration, such as the subsection of 367 Figure 4d, corresponding to a zone where the river narrows as it crosses a sandstone bedrock 368 layer. Farther downstream along the reservoir section surface water depth increases (Figures 4 b, 369 c) and is underlain by several meters of the least-resistive streambed interface sediments 370 observed along the study reach, indicating accumulation of alluvial fines in slower flowing 371 water. This finding is consistent with visual observations made by boat when the reservoir was 372 partially drained in 2017 when extensive deposits of fine-grained sediments and organic deposits 373 were noted along the reservoir bed. These low-resistivity fines appear to overly resistive bedrock 374 along the reservoir section of Line 130, though unlike the upstream island scenario of coarse 375 material over shallow bedrock, the fine alluvial sediments likely act as a cap to inhibit GW/SW 376 exchange in this deeper water. Based on the forward modeling scenarios using similar surface 377 water depths (Figure 3b) the thickness of this cap layer is likely >2m to be captured by 378 FloaTEM.

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Figure 4. The FloaTEM survey track line is plotted using inversion model data residuals in map view (a). Inverted resistivity sections from Line 130 (b), Line 120 (c) are shown and white space gaps in the profiles indicate areas where infrastructure-coupled data were removed from profiles. Panel (d) shows a subset of Line 120 without vertical exaggeration where the apparent dip of the sandstone bed is not distorted. The black line along the inversion sections indicates the streambed interface below the surface water column.

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### 3.2 Upper Delaware River, New York and Pennsylvania (5<sup>th</sup>-order stream)

The Delaware River's upper basin lies within the Glaciated Low Plateau section of the Appalachian Plateaus province. In the study area, the upper Delaware cuts a narrow valley through sandstone bedrock of Devonian age along the border between New York and Pennsylvania. Bedrock is exposed along the streambed in isolated areas though there are also thick glaciofluvial deposits consisting of outwash sand and gravel and ice-contact sand, gravel, and silt particularly on the inside of meander bends and where larger tributaries empty into the river. Evidence of such deposits is shown by USGS Boring 12008-14 (h

395 https://txdata.usgs.gov/GeoLogArchiver/odata/Logs(31076)/LogFile?\_=1590785864403that

396 penetrates approximately 20 m of glaciofluvial deposits above bedrock (Figure 5).

397 On December 6, 2018 FloaTEM data were collected over approximately 64-km of river 398 length over 2 days using a jetboat to tow the system, though only 10.4-km are shown here, 399 starting just downstream of the Lackawaxen River confluence (White et al., 2020). The DOI 400 calculated for this section was spatially variable, but typically extended to at least 21 m below 401 the streambed interface. Several sections of data were removed before performing the inversion 402 due to (coupled 'powerline' data) interference with human infrastructure along this populated 403 section of river. The 25-layer laterally constrained inversion model residuals were typically less 404 than 2 (unitless metric) but increase toward the downstream end of the line. Generally, this 405 section of riverbed shows resistive sediments (approximately 600-900 ohm-m) interpreted as 406 sand and gravel overlying a more resistive transition (>1000 ohm-m), which is interpreted as the sandstone bedrock contact. The inferred bedrock contact shallows in several places to within 6 m 407 408 of the streambed interface, which is consistent with the known geology of the Delaware River, 409 though in other places the resistive transition occurs at >25 m depth. There are several obvious 410 low-resistivity zones in the streambed that extend 100's of m in length and may reflect alluvial 411 sediments with higher silt content that would inhibit GW/SW exchange. A comparison of the 412 FloaTEM inversion to the adjacent USGS Boring 12008-14 supports the interpretation of a 413 predominance of sand and gravel deposits with interbedded siltier zones overlying sandstone 414 bedrock.

415 Previous GW/SW exchange characterization related to endangered dwarf wedgemussel 416 habitat along a similar upstream stretch of the Delaware River indicated that focused zones of 417 groundwater discharge create important aquatic habitat niches in this system (Briggs et al., 418 2013), though efforts to explain the occurrence of discharge zones based on near-surface geology 419 were hampered by the limited depth of investigation (i.e. <5 m) of other towed EM tools 420 (Rosenberry et al., 2016). The streambed resistivity structure mapped with FloaTEM over this 421 10.4-km track line indicates variable depth to bedrock and large-scale (100's of m in length) silt-422 rich zones. A shallowing of the bedrock contact is known to force lateral down-valley 423 groundwater flowpaths toward the surface, causing GW/SW exchange (Winter et al., 1998), 424 while inclusion of silt into sand and gravel pore spaces is likely to decrease hydraulic 425 conductivity and also force spatial variability in groundwater discharge (Nyquist et al., 2008; Rosenberry et al., 2016). Therefore, this proof of concept study indicates FloaTEM data may be 426

- 427 particularly useful for groundwater-dependent habitat assessments in the Delaware River related
- 428 to the dwarf wedgemussel and managed recreational cold-water fishes.





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Figure 5. The 10.4-km track of the FloaTEM instrument Delaware River channel is shown using
inversion model residuals plotted in map view (a), known adjacent borehole lithology (b), and
inverted resistivity section from the Delaware River surveys near Barryville, NY (c).

# 3.3 Tallahatchie River (6<sup>th</sup>-order stream)

The Mississippi Alluvial Plain (MAP) is one of the most important agricultural regions in the United States, and crop productivity relies on groundwater irrigation from a hydrogeologic system that is poorly defined. Agricultural water use from in MAP has resulted in substantial groundwater-level declines and reductions in river baseflow. Current research to better constrain 439 paired aquifer and river dynamics couples numerical groundwater modeling with uncertainty-440 targeted, geophysical surveys to improve the characterization of the alluvial aquifer system 441 (Kress et al., 2018). The better-informed numerical groundwater model could then be used to 442 predict potential future effects of water-use changes, climate change, conservation practices or 443 the construction of diversion-control structures to help manage the water resource. 444 Understanding the areal and vertical distribution of coarse- and fine-grained materials is 445 important to improve regional and local groundwater models and inform estimates of recharge 446 potential under natural and engineered conditions within the MAP aquifer system.

447 Air-, water-, and land-based geophysical methods were collected in support of the 448 framework component of the MAP study. Extensive AEM surveys using the Resolve frequency-449 domain electromagnetic system were conducted in multiple phases, including a high-resolution 450 grid of flights near the Tallahatchie River study area from late February to early March, 2018 451 (Burton et al., 2019), and a large regional survey of the entire MAP region, including the river 452 profile shown here (White et al., 2020), from November 2018 through February 2019. During 453 October 2018, approximately 150 km of FloaTEM data were collected on Mississippi and 454 Louisiana waterways, and approximately 36.5 km of tTEM data were collected on land at two 455 locations in March 2018 (White et al., 2020), in west-east profiles separated approximately 20 m 456 apart in association with an extraction and infiltration investigation. In the focus area presented 457 here, the uppermost 40 m comprises sands of the shallow Quaternary Mississippi River Valley 458 alluvial aquifer system. Beneath the surficial aquifer, from depths of approximately 40-80 m, the 459 Middle Claiborne aquifer consists of a mix of sand, silt, and clay. Below depths of about 80 m, 460 there are clay and silt deposits of the Lower Claiborne confining unit (Figure 6b) (Hoffmann, 461 2017).

462 In addition to plotting the course of an 18.3 km section of the FloaTEM survey (A - A'), 463 Figure 6a shows the model inversion residuals. The residuals are generally close to 1, indicating 464 a good fit in inverted data with respect to the observed data. As with the other case studies zones that showed obvious interference with infrastructure were removed from the FloaTEM dataset 465 466 before inversion. The tTEM data collected adjacent to the river also had low model residuals. An 467 AEM profile (Figure 6c) was acquired along the same reach of the Tallahatchie River, following 468 a similar path as the FloaTEM survey (Figure 6d). The inverted resistivity cross sections are 469 similar in distribution of resistivity along the profiles, in magnitude, and location of discrete 470 features though the data density of the FloaTEM system was higher resulting in sharper 471 boundaries on discrete conductive features. The AEM had a DOI of about 80 to 90 m and the FloaTEM had a DOI of 80 to 100 m. The tTEM had a reduced DOI that varied from 10 to 50 m 472 and overall the land-based profile was more electrically resistive than the adjacent river lines 473 474 (Figure 6e). The relatively shallow tTEM DOI at the southern end of the profile is caused by the 475 removal of late-time gates coupling to nearby powerlines to the south.

476 The collective FloaTEM/AEM surveys (A –A') at Shellmound indicate high resistivity 477 zones correlate with coarse-grained materials (sand and gravels) consistent with the Mississippi 478 River Valley aquifer, and low resistivity materials with finer-grained sediments (silts and clays), 479 which are consistent with overbank materials and with the Lower Claiborne confining unit and 480 portions of the Middle Claiborne aquifer. The high resistivity zones lining and below the 481 streambed indicate the potential for recharge to the aquifer from the river in those zones through 482 coarser alluvial material. Identifying locations where there is potential for recharge to the aquifer 483 through streambed infiltration and identifying locations where infiltration is blocked by 484 impermeable or less permeable clay and silt layers is important for groundwater model input. A 485 smaller 100-m scale resistive feature at approximately 15 km along the FloaTEM line just below 486 the sediment-water interface may represent a paleochannel filled with particularly coarse bed 487 material that would provide a conduit for subsurface flow and GW/SW exchange. In addition, 488 the distribution of resistivity with depth can be helpful for framework characterization and 489 groundwater model development.



491 Figure 6. The 18.3-km track of the FloaTEM instrument deployed along the Tallahatchie River
492 near Shellmound is shown using inversion model residuals plotted in map view (a), along with
493 known lithology (b), and inverted resistivity sections from paired AEM (c), and FloaTEM (d).
494 Additional, land-towed tTEM data collected across a nearby field are shown (e).

495

### 3.4 Eel River Estuary

496 The Eel River estuary is one of a series of narrow saltwater estuaries and intervening 497 narrow peninsulas along the Nantucket Sound coast of southwestern Cape Cod, Massachusetts, 498 USA (Howes et al., 2013). The tidal river extends about 3 km inland from the barrier beach 499 along the Sound and is about 30 to 200 m wide and 1 to 2 m deep. A densely populated 500 peninsula with single-family homes on septic systems borders the embayment on the east (Figure 501 7a), a more detailed description of the area can be found in Colman et al., (2018). Fine-grained 502 organic sediments on the estuary bottom are expected to be underlain by about 100 m of glacial 503 sand, gravel, silt, and clay that overlie granitic bedrock (Hull et al., 2019). The narrow bays 504 occupy flooded erosional valleys cut into the Cape Cod glacial outwash plain at the end of the 505 Pleistocene Epoch. Farther inland similar erosional valleys serve as groundwater drains for the regional aquifer (Uchupi and Oldale, 1994), with average yearly base-flow contributions 506 507 exceeding 90% (Briggs et al., 2020). Fresh terrestrial groundwater from the unconfined Cape 508 Cod aquifer discharges along the Eel River from inland areas and the adjacent peninsulas. 509 However, the fine-grained, low permeability marine sediments in the estuary combined with the 510 difficulty in making direct groundwater discharge measurements strongly complicates any 511 interpretations and predictions of fresh groundwater discharge along the Eel River estuary. In 512 contrast to the other three case studies, spatial variation in subsurface resistivity along the Eel

River FloaTEM data collection line is expected to be most directly related to the variation in pore
water resistivity at the terrestrial/marine GW interface.

515 On November 28, 2018, approximately 1.6 km of FloaTEM data were collected along a 516 north-to-south track line from the narrow northwestern branch of the embayment to a point just 517 inside the barrier beach at Nantucket Sound (White et al., 2020). Although infrastructure typical 518 of residential suburban development is prevalent along the shoreline in this area, it was only 519 necessary to remove <15% of the total data collection line before the inversion process. The 520 inverted FloaTEM data indicate a pronounced lens of freshwater that extends under the 521 embayment toward the Sound (Figure 7b). Along the northern end of the data collection line, 522 fresher groundwater is indicated in the near surface sediments down to approximately 18 m 523 depth, underlain by an apparent transition toward more saline groundwater. The profile generally 524 becomes less resistive toward the south indicating a transition to more brackish groundwater 525 particularly in the shallow sediments (Figure 7b). However, the FloaTEM data show a zone of 526 fresh water-influenced sediments at mid-depths that extends out toward the barrier beach and 527 well past the tip of the eastern sandy peninsula. A direct comparison between the FloaTEM 528 inversion and that derived from CRP data collected in April 2015 along a similar line show strong agreement in the shallow sediments (Figure 7c), though the CRP DOI ranged from 4-8 m 529 530 depth, including the estuary surface water column, so the vertical extent of the fresh groundwater 531 lens was not defined.

532 Existing regional groundwater modeling (e.g. Walter et al., 2016, 2018) indicates that the 533 regional freshwater/saltwater interface extends partway up the Eel River estuary from the Sound, 534 but its position based on these large-scale simulations is uncertain owing to a lack of field 535 observations and calibration data. GW flowpaths that discharge in the Eel River area are subject 536 to nutrient loading from many potential sources, including lawns and limited agriculture, golf 537 courses, and residential septic leach fields (Howes et al., 2013; Walter et al., 2004, 2018). A 538 recent study of groundwater-nitrate transport to the Eel River using samples collected from 539 monitoring and pushpoint wells confirmed that fresh GW extends at least 1 km seaward from the 540 head (northern end) of the embayment (Colman et al., 2018), though notably that study did not 541 locate major zones of regional GW discharge. Instead they posited that submarine discharge 542 zones of regional GW may be located farther from shore toward the center of the embayment and 543 farther southward toward the Sound. This hypothesis is supported by the FloaTEM data that 544 show the interpreted fresher GW lens extending to at least 2.2 km from the head of the 545 embayment. Similar to the low-hydraulic-conductivity cap discussed in reference to the inland 546 Rainbow Reservoir (Farmington River case study), GW/SW exchange is often inhibited in 547 narrow nearshore areas where marine fines accumulate on the embayment bottoms, resulting in 548 fresher GW discharge several km from shore as seen in other coastal areas (Manheim et al., 549 2004). FloaTEM data may provide a critical link between the point scale of direct measurements 550 of porewater salinity in the bed sediments of embayments and the regional scale of predictions 551 from GW flow models. The FloaTEM data could be used to guide porewater sampling with wells 552 to focused zones of GW/SW exchange and help better characterize nutrient delivery to coastal 553 waters.



555 Figure 7. The FloaTEM survey trackline plotted by inversion model data residuals is shown for



*electromagnetic (b), and previously collected continuous resistivity profiling surveys (c).* 

#### 558 *3.4 Transferrable findings of the forward modeling and case studies*

559 The varied field examples and forward modeling shown in this study demonstrate the 560 utility of novel, high spatial resolution and deeply penetrating geoelectric data collected beneath 561 larger river and estuary features that are not typically captured with conventional 562 hydrogeological methodology. The inverted data were useful in informing a range of 563 hydrogeologic processes, broadly binned below into three categories: (1) large-scale geology, (2) 564 sediment-water interface sediments, and (3) fresh/saline groundwater interfaces. The utility of 565 FloaTEM data in informing hydrogeologic investigations, along with realized challenges in data 566 collection with the FloaTEM system, is discussed in the following.

567

#### 3.4.1 Large-Scale Geologic Structures

568 When FloaTEM was applied to these larger water body case studies, the DOI was 569 approximately a factor of 10 greater compared to previously demonstrated towed EM and CRP 570 systems (e.g. Briggs et al., 2019; Day-Lewis et al., 2006; Sheets & Dumouchelle, 2009) without 571 sacrificing spatial resolution of the inverted data. This allows more holistic mapping of the 572 aquifer system below surface water features than was previously possible, addressing a critical 573 need of more accurate GW/SW exchange related flow and transport modeling. Our forward 574 modeling indicated FloaTEM could readily resolve 100 ohm-m transitions in streambed 575 resistivity at the scale of dipping geologic units (100's of m, Figures 2 and 3) while also 576 capturing inclusions such as clay lenses at the 50 m scale. Inversions from the Farmington River 577 case study agree with the premise of the forward modeling, and several apparent dipping 578 resistive bedrock layers were mapped across concurrent data collection lines at the 100's of m 579 scale (Figure 4). We interpret these resistive layers as sandstone interbedded in more silt-rich

580 layers, and preliminary data indicates the sandstone may drive observed patterns in riverbank581 GW discharge.

582 The Delaware River and Tallahatchie River case studies had existing geologic 583 stratigraphy data from near-river boreholes that could be compared to the FloaTEM inversions. 584 Along the 10.4-km Upper Delaware River reach, the 2D resistivity profile indicated several 585 hundred-meter long zones of silt-rich substrate embedded in a coarser sand/gravel matrix 586 overlying variable depth bedrock (Figure 5). This interpretation was supported by the adjacent 587 borehole data. Both the silt-rich and bedrock layers likely impact near surface GW connectivity 588 and GW/SW exchange patterns by restricting flow and focusing flowpaths through the coarser 589 bed material (Figure 5c). Unconsolidated sediments are much thicker along the meandering 590 Tallahatchie River study reach. There, FloaTEM data captured transitions from the higher 591 permeability Mississippi River Valley aquifer to lower clay-rich confining units in a similar, but 592 sharper manner to AEM data, and those sediment transitions are supported by nearby boreholes 593 (Figure 6). Spatial mapping of productive aquifers vs confining units below large rivers such as 594 this will be critical to developing more accurate predictive models of river water budgets and 595 GW/SW exchange patterns.

596

### 3.4.2 Sediment-Water Interface Sediments

597 Characterizing the distribution of coarse grained, hydraulically conductive materials vs 598 that of fine-grained lower permeability streambed sediments is instrumental for mapping and 599 predicting zones of preferential GW/SW exchange. Streambed permeability is known to be a 600 highly-sensitive model property controlling GW/SW exchange (Dai et al., 2019), and is 601 represented by the riverbed conductance parameter in MODFLOW. Unfortunately, this 602 parameter is typically uninformed by field data at the large reach to basin-scales in GW flow 603 models, yielding unconstrained and uncertain model predictions. Because high electrical 604 resistivity is indicative of sand and coarse-grained material and conductive zones are indicative 605 of silt and clay, resistivity mapping can be used to infer sediment-water interface material types 606 and hydraulic properties. Our forward modeling indicates that the FloaTEM system could 607 resolve sediment-water interface sediments at the scale of several meters, but the ability to 608 identify a fine-grained alluvial cap on more permeable bed sediments would be impacted by 609 surface water thickness and likely require a cap thickness of 2 m or more (Figure 2). Similar 610 thicknesses of low resistivity interface fines were mapped along the reservoir section of the 611 Farmington River reach, a finding supported by physical observations of thick silt and organic 612 accumulations made when the water body was partially drained in 2017 for dam maintenance 613 (Figure 4). Upstream, a section of resistive interface material over shallow sandstone 614 (interpreted) bedrock coincided with a large cluster of known GW riverbank seeps.

615

### 3.4.3 Fresh/Saline Groundwater Interfaces

616 The Eel River case study shown here indicates fresh submarine GW discharge, and the 617 inverse process of saltwater intrusion, are excellent processes to target with towed TEM 618 methods. This coastal example also suggests that the FloaTEM method would be particularly 619 useful in mapping inland exchange zones between terrestrial rivers and natural aquifer brines, a 620 process that can degrade surface water quality (e.g. Mast & Terry, 2019). Additionally, fresh 621 GW discharge flowpaths embedded in brines below large rivers that are only partially captured 622 with previous geophysical tools with limited DOI (e.g. Briggs et al., 2019) are promising targets 623 for FloaTEM. Fresh/saline GW interfaces are often dynamic over time as their spatial 624 distribution is driven by both paired SW/GW pressure gradients and strong subsurface density

gradients. FloaTEM offers the potential for time lapse monitoring of such dynamics at singlepoints and over repeat transects that is not often feasible for expensive airborne AEM surveys.

627

## 3.4.4 Challenges

628 Typically, EM geophysical methods are considered most useful in identifying conductive 629 targets, although examples from the Delaware and Farmington Rivers indicate resistive bedrock 630 can be successfully imaged at the formation scale. However, other types of challenges in 631 FloaTEM data collection were identified, with coupling to infrastructure being the most serious. 632 The effects of bridges, metallic pipelines, and overhead utilities were apparent during data 633 collection and the proximity and extent of these features should be considered when planning a 634 survey. Also, when towing the system, the maneuverability of the boat is impaired. Boat 635 operators should always maintain sufficient channel width to allow turning to avoid damage to 636 the system. However, as compared to the typical CRP system, the FloaTEM has a significantly 637 smaller footprint, with ~10 m of equipment behind the boat as compared to 60-100 m of towed 638 cable. Additionally, the floating frame and overhead cables of FloaTEM do not pose a propeller 639 fouling hazard to the boat, and the system only sits < 0.20 m below water surface. The 640 complexity and volume of the data do limit the ability of the operator to perform field quality 641 control, though preliminary inversions can be developed directly after field surveys to allow 642 adjustment the following day. Since the field experiments were carried out in 2018, the FloaTEM 643 system has been further developed in several ways. Firstly, the receiver coil architecture has been 644 changed resulting in a signal to noise ratio increase of a factor of 4. This has increase the DOI to 645 typically 100 m without sacrificing the resolution in the top 2 - 4 m. Secondly, the platform has 646 been changed and it now build of fiber frame parts that can easily be taken apart for transport.

647 The distance between the receiver coil and the transmitter coil has been decreased by 2 m648 because of a new design in the transmitter minimizing some internal couplings.

649

## 4. Conclusions

650 As shown here with a diverse range of field case studies and forward modeling scenarios, 651 towed time-domain EM data fill a critical need in assessing multi-scale hydrogeologic process 652 below multi-scale water bodies in the absence of coupling infrastructure. Specifically, the 653 FloaTEM system was able to resolve the following two features that often impart control on 654 spatiotemporal patterns of GW/SW exchange: 1. Bedrock layers and transitions from productive 655 coarse grained aquifer sediments to confining units, 2. Coarse grained sediment-water interface 656 sediments and potential paleochannels along with apparent fine-grained streambed exchange-657 inhibiting caps. Additionally, when there is strong contrast in bed pore-water electrical 658 conductivity such as below coastal estuaries the FloaTEM system can be used to map saltwater 659 intrusion and discharge patterns of fresh GW. Although not tested here, it is likely the FloaTEM 660 method will be useful in other hydrogeological settings with strong electrical contrast such as discontinuous and thawing permafrost (e.g. Walvoord and Kurylyk, 2016) where airborne 661 662 methods have been deployed to good effect (Minsley et al., 2012; Rey et al., 2019). Further, as 663 the deployment of FloaTEM is much less resource-intensive than airborne EM methods at the 664 river reach scale (10s of km), time-lapse imaging of dynamic fresh/saline GW interface 665 processes and storm and dam-induced riverbed exchange is feasible. Although the FloaTEM system concept is demonstrated here in several diverse case studies using Aarhus TEM 666 667 technology, the basic concept is transferable to other manufacturers of similar geophysical 668 equipment. The novel ability to map hydrologic pathways, confining units, and fresh/saline 669 interfaces in aquatic settings where physical GW/SW exchange related methods are challenging

brings needed insight into a diverse range of research- and management-related questions andwill improve large-scale predictive flow modeling.

672

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689

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